

## 2021 Annual Privacy Notice Disclosure

Because of the sensitivity surrounding personal information, our client privacy procedures are extremely strict. We limit access to your information only to those employees and authorized agents who need to know that information in order to provide the products or services that you have requested from us. We maintain strict physical, electronic, and procedural safeguards that comply with federal confidentiality standards.

- o **Where we get information:** The information we collect about you comes from information you provide to us when opening your account or during our ongoing relationship. This includes information such as your name, address, and Social Security number that you provide on applications, agreements or other forms. In addition, we maintain records of each of your transactions and holdings at Relevant Wealth Advisors.
- o **To whom we disclose information:** We provide information about our current or former clients, from the sources described above, to parties outside Relevant Wealth Advisors only as described below:
  - *To our custodian Charles Schwab.* Charles Schwab is the sole provider of custodial services for Relevant Wealth Advisors. These services include trade clearances and account settlements.
  - *Where required by law or regulation.* Examples include responses to a subpoena, court order, or regulatory demand.
  - *As authorized by you.* You may request that we send copies of your account statements and/or trade confirmations to a third party.
- o Relevant Wealth Advisors *does not* respond to requests for information about you from any consumer-reporting agencies.
- o Relevant Wealth Advisors *does not* participate in joint marketing agreements.
- o Complaints against Relevant Wealth Advisors and/or its employees should be directed to Bruce Raabe at (415) 925-4000 or mailed to:

Bruce Raabe  
2 Belvedere Place, Suite 350  
Mill Valley, CA 94941

Protection of your personal and transaction-related information is of extreme importance to us. If you have any questions regarding this policy, please feel free to call me or your portfolio manager.

Sincerely,



Bruce J. Raabe  
President & CEO